PTO/SB/01 (09-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Attorney Docket 11321-P073WOUS **DECLARATION FOR UTILITY OR** Number First Named Inventor **DESIGN** Valery N. Khabashesku et al. PATENT APPLICATION COMPLETE IF KNOWN (37 CFR 1.63) **Application Number** 10/560,351 Declaration Filing Date Declaration June 16, 2004 Submitted OR Submitted after Initial With Initial Art Unit Filing (surcharge Unknown Filing (37 CFR 1.16 (e)) **Examiner Name** required)

					Ciknov				
I hereby declare that:									
Each inventor's residence, ma	iling address, ε	and citizenship are a	as stated t	elow next to ti	heir namı	e.			
I believe the inventor(s) name which a patent is sought on the	d below to be the invention enti	he original and first itled:	inventor(s) of the subjec	t matter v	which is claim	ned and for		
SIDEWALL FUNCTIONALIZATION OF NANOTUBES WITH HYDROXYL TERMINATED MOIETIES									
the specification of which		(Title of the	Invention)	<u> </u>					
is attached hereto									
OR									
was filed on (MM/DD/Y									
Application Number PCT/US	Application Number PCT/US2004/019015 and was amended on (MM/DD/YYYY) (if applicable).								
I hereby state that I have revie amended by any amendment s	wed and under specifically refe	rstand the contents erred to above.	of the abo	ve identified s	pecification	on, including	the claims, as		
I acknowledge the duty to discontinuation-in-part application and the national or PCT international or PCT interna	ns, material info ational filing dat	ormation which becate of the continuation	came avail on-in-part a	lable between application.	the filing	date of the	prior application		
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.									
Prior Foreign Application Number(s)	Country	Foreign Filing (MM/DD/YY)		Priorit Not Clain		Certified C	opy Attached?		
N/A ·]				
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.									

[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/01 (09-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

								·	
correspondence to:	ne address sociated with 6100 ustomer Number:					OR		Correspondence address below	
Name			****						
Address		· · · · · · · · · · · · · · · · · · ·			·	<u> </u>			
City			State)				ZIP	
Country	Telepl	none				Fax			
I hereby declare that all state and belief are believed to statements and the like so m false statements may jeopard	be true; and further than nade are punishable by fi	at these statine or impriso	ement onmen	ts we	ere made v both, under	with t 18 L	he kno	owledge that willful false	
NAME OF SOLE OR FIRST	INVENTOR:		etition	has	been filed fo	or this	unsia	ned inventor	
Given Name (first and middle	[if any])			Family Name or Surname					
Valery N.				Khabashesku					
Inventor's Signature				Date 05/23/06					
Residence: City	State		Coui	Country Citize			Citize	nship	
Houston	TX		US	IS RU					
Mailing Address 2421-B Dorrington St.									
City	State			Zip				Country	
Houston	тх			77030			us		
NAME OF SECOND INVENT	ror:] A	petition has	s bee	n filed	for this unsigned inventor	
Given Name (first and middle	[if any])		1_3	Family Name or Surname					
Lei				Z	Zhang				
Inventor's Signature								Date	
Residence: City	State	Cou	Country			Citizenship			
Houston	TX	us				CN			
Mailing Address 2209 S. Braeswood, No. 31J									
City	State			Zip			Coun	untry	
Houston	тх	тх					US		
Additional inventors or a legal	representative are being named	d on the 1	supplem	ental :	sheet(s) PTO/S	B/02A	or 02LR	attached hereto.	

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/02A (09-04)

DECLARATION
ADDITIONAL INVENTOR(S)
Supplemental Sheet

	Page 3 of 3							
Name of Additional Joint Inventor, if any	y:	A petition has been filed for this unsigned inventor						
Given Name (first and middle (if any))	Family Name or Sumame						
Mary Lou		Margrave, as legal representative of John L. Margrave, Deceased						
Inventor's (SEE ATTACHED) Mary 4	on m	Pargare 5-18-06						
Bellaire Residence: City	TX State	US Cou	ntry	us Citizer	nship			
4511 Verone								
Mailing Address								
Bellaire	тх		77401-5573	us				
City	State		Zip	Count	ry			
Name of Additional Joint Inventor, if any	y:	A petition I	nas been filed for this ur	nsigned	inventor			
Given Name (first and middle (if any))		Family Name or S	umame				
	-							
Inventor's Signature				Date				
Residence: City	State		Country		Citizenship			
Mailing Address								
City	State		Zip	Count	ry			
Name of Additional Joint Inventor, if any	<i>y</i> :	A petition has been filed for this unsigned inventor						
Given Name (first and middle (if any))	•	Family Name or Surname						
Inventor's Signature				Date				
Residence: City	State		C		O'hin a na hin			
residence. Ony	State	<u> </u>	Country		Citizenship			
Mailing Address								
City	State		Zin	Count				

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/01 (09-04) Approved for use through 07/31/2006. OMB 0651-0032

11321-P073WOUS

		femark Office; U.S. DEPARTMENT C	
der the Paperwork Reduction Act of 1995, no persons are required to res	pond to a collection of inform	nation unless it contains a valid OMB	control number.
	Attorney Docket	11321-P073WOUS	

DESIGN					First Named Inventor Valery N. Khabashesku et al.				
	PAT			ATION	COMPLETE IF KNOWN				
	(37 CFR 1.63)		Application Number	10/560,351					
	Declaration Submitted OR With Initial Filing	OR	\checkmark	Declaration Submitted after Initial	Filing Date	June 16, 2004			
			Art Unit	Unknown					
_			required)	Examiner Name	Unknown				

						-		
I hereby declare that:								
Each inventor's residence, ma	iling address, a	and citizenship are as	stated b	elow next to	their nam	e.		
I believe the inventor(s) name which a patent is sought on the	d below to be the invention ent	he original and first in itled:	ventor(s)	of the subjec	ct matter v	which is clain	ned and for	
SIDEWALL FUNCTION	NALIZATIO	ON OF NANOTU	JBES V	NITH HYE	ROXY	L TERMIN	NATED	
MOIETIES							,	
the specification of which		(Title of the In	vention)					
is attached hereto								
OR								
was filed on (MM/DD/Y	YYY)	June 16, 2004	as Unit	ted States Ap	plication	Number or P	CT International	
Application Number PCT/US2004/019015 and was amended on (MM/DD/YYYY) (if applicable).								
I hereby state that I have revie amended by any amendment	wed and under specifically refe	rstand the contents of	f the abov	ve identified s	specificati	on, including	the claims, as	
I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.								
I hereby claim foreign priority	benefits unde	r 35 U.S.C. 119(a)-(d) or (f).	or 365(b) of	f any fore	ign applicati	on(s) for patent,	
inventor's or plant breeder's ri country other than the United	States of Amer	ica, listed below and	have als	o identified b	elow, by	checking the	box, any foreign	
application for patent, inventor before that of the application of	's or plant bree in which priority	eder's rights certificate r is claimed.	e(s), or a	ny PCT inter	national a	pplication ha	ving a filing date	
Prior Foreign Application Number(s)	Country	Foreign Filing [Priori			opy Attached?	
Number(s)	Country	(MM/DD/YYY	<u>r) </u>	Not Clai	med	YES	NO NO	
N/A			•	<u> </u>	<u> </u>		H	
				<u> </u>	- 1	H		
				<u> </u>	J 7			
Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.								
			,					

[Page 1 of 2]
This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/01 (09-04)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

DECLARATION — Utility or Design Patent Application

correspondence to:	The address associated with Customer Number:	ciated with 61060			OR		Correspondence address below		
Name							· · · · · · · · · · · · · · · · · · ·		
Address						-			
							·		
City			State	•			ZIP		
Country	Tele	phone			Fax		<u> </u>		
,	10.0	priorio			1.00				
I hereby declare that all state	ments made herein of	my own know	ledge	are true a	nd that all	stateme	ents made on information		
and belief are believed to t statements and the like so m	be true; and further the	hat these stat	ement	s were m	nade with	the kno	wledge that willful false		
false statements may jeopard	ize the validity of the a	pplication or ar	y pate	ent issued	thereon.	U.J.C.	TOO I and that such willing		
NAME OF SOLE OR FIRST I	NVENTOR:		etition	hae boon	filed for thi	e uneigr	ned inventor		
Given Name (first and middle	[if any])		EUUOII		ily Name o				
Valery N.				Khabashesku					
Inventor's Signature							Date		
Residence: City	State		Cour	Country Cit			lship		
Houston	TX		us			RU			
Mailing Address 2421-B Dorrington St.					• •		, All, 1889, 889		
City	State			Zip		T	Country		
Houston	TX			77030			us		
NAME OF SECOND INVENT	OR:			A petition	on has bee	n filed f	or this unsigned inventor		
Given Name (first and middle	(if any))		1 4		y Name or				
Lei				Zhang					
Inventor's Signature							05/25/06		
Residence: City	State	Cour	Country		Citizenship				
Houston	тх	US			CN				
Mailing Address 2209 S. Braeswood, No. 31J									
City	State			Zip			Country		
Houston	TX			77030		US			
Additional inventors or a legal r	representative are being name	ed on the 1 s	uppleme	ental sheet(s)	PTO/SB/02A	or 02LR a	ittached hereto.		

PTO/SB/02A (09-04)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

ADDITIONAL INVENTOR(S)

DECLARATION Supplemental Sheet Page 3 Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname Mary Lou Margrave, as legal representative of John L. Margrave, Deceased (SEE ATTACHED) Inventor's Signature Date TX US us Residence: City State Country Citizenship 4511 Verone Mailing Address Bellaire ΤX 77401 us City State Zip Country Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Sumame Inventor's Signature Date Residence: City State Citizenship Country Mailing Address City State Zio Country Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle (if any)) Family Name or Surname Inventor's Signature Residence: City State Country Citizenship Mailing Address State Zip

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.